

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : A61F 2/06		A1	(11) International Publication Number: WO 98/14137 (43) International Publication Date: 9 April 1998 (09.04.98)
(21) International Application Number: PCT/US97/17211 (22) International Filing Date: 25 September 1997 (25.09.97) (30) Priority Data: 60/027,345 1 October 1996 (01.10.96) US		(81) Designated States: AU, BR, CA, CH, CN, IL, JP, KR, MX, NO, NZ, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>	
(71) Applicant: NUMED, INC. [US/US]; P.O. Box 129, Nicholville, NY 12965-0129 (US). (72) Inventor: TOWER, Allen, J.; Star Route, North Lawrence, NY 12967 (US). (74) Agent: MARJAMA, Owen, D.; Wall Marjama & Bilinski, Hills Building, 7th floor, 217 Montgomery Street, Syracuse, NY 13202 (US).			
(54) Title: EXPANDABLE STENT			
(57) Abstract			
<p>A radially expandable stent which is formed of a fine wire (10) bent into a serpentine flat ribbon and helically wound around a mandrel (14), is disclosed. The stent is formed into a cylindrical sleeve (18) for mounting on a balloon catheter (38) and transluminal insertion into a vessel (35). A free end (20) of the wire (10) is looped or wrapped around the helix at locations (40)(42)(44). The wire (10) forming the stent comprises an alloy selected from the group consisting of Pt-Ir or Au-N and has a tensile strength of about 155,000 PSI.</p>			

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Larvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

EXPANDABLE STENT

FIELD OF THE INVENTION

This invention relates to intravascular implants for maintaining vascular patency in human blood vessels. More particularly, this invention relates to a radially expandable stent made from a fine wire formed into a serpentine ribbon 5 wound into a cylindrical shape for introduction into a body vessel for balloon expansion therein in a radial fashion to support the wall of the vessel when in the expanded configuration. This invention is particularly useful in transluminal implantation of a stent for use in the coronary angioplasty to prevent restenosis.

10 BACKGROUND OF THE INVENTION

The basic concept of stents has been known for a number of years. Various types of stents have been proposed and patented, including self-expanding spring types, compressed spring types, mechanically actuated expandable devices, heat actuated expandable devices, and the like. More 15 recently, expandable sleeves have been proposed such as shown in U.S. Patent No. 4,733,665 to Palmaz, issued March 29, 1988. In this and other patents, Dr. Palmaz suggested a series of metal sleeves which could be expanded by a balloon catheter through the elastic limit of the metal so as to permanently deform them into contact and support of the interior surface of the blood vessel in question. 20 Subsequently, patents to Hillstead, U.S. Patent No. 4,856,516 issued August 16, 1989 and U.S. Patent No. 4,886,062 issued December 12, 1989 to Wiktor, have shown stents formed of a zigzag wire wound around a mandrel in a somewhat cylindrical fashion which can then be mounted on a collapsed catheter balloon and expanded after introduction into the vessel by expanding the balloon catheter. 25 These prior art devices have been satisfactory for certain installations, but have been limited in the amount of support that can be provided to the interior of the blood vessel wall and in some cases, to the ratio of expansion possible, and in other cases in the size of the profile presented for the transluminal insertion.

-2-

OBJECTS AND SUMMARY OF THE INVENTION

Accordingly, it is an object of the present invention to provide a stent that overcomes the limitations of the prior art.

It is a further object of the present invention to provide a fine wire
5 stent that is economical to produce and yet exhibits the mechanical strength and elasticity to be able to maintain the desired shape and size in the expanded state after installation.

These and other objects of the present invention are accomplished in one embodiment by providing a stent formed from a fine wire bent into a flat
10 serpentine ribbon and wound around a cylindrical mandrel to form a cylindrical sleeve for application to a collapsed balloon catheter for transluminal insertion in a blood vessel and later expansion by inflation of the balloon catheter at the desired site. In the present invention, the improvement comprises making the stent from a wire which comprises an alloy selected from the group consisting of
15 Pt-Ir or Au-Ni, and where the alloy exhibits a tensile strength of about 155,000 to 175,000 PSI. In one embodiment of the present invention, the alloy comprises about 90 wt % Pt and 10 wt % Ir. It has been found that alloys of this type provide the combination of strength and resilience to be readily expandable and to maintain their size and shape in the expanded state after installation. In a
20 further embodiment, the welds are eliminated and the free end of the wire forming the stent is looped or wrapped around the helix at a plurality of selected locations to provide for greater dimensional stability.

BRIEF DESCRIPTION OF THE DRAWINGS

25 These and other and further objects of the present invention with additional features and advantages accruing therefrom will be apparent from the following description shown in the accompanying drawings wherein:

FIG. 1 is an enlarged scale plan view of the first step of the formation of a fine wire into the ribbon of the present invention;

30 FIG. 2 is a view similar to FIG. 1 of the serpentine wire ribbon formed from the wire configuration of FIG. 1;

-3-

FIG. 3 is a view of the wire ribbon of FIG. 2 wound about a mandrel to form a helix; with the wire pigtail of the ribbon of FIG. 2 welded to the helix;

FIG. 4 is a view similar to FIG. 3 showing the stent mounted about a collapsed balloon catheter inserted in a blood vessel; and

5 FIG. 5 is a view similar to FIG. 4 on a reduced scale showing the expanded stent in position in a blood vessel for holding the blood vessel in the open configuration.

FIG. 6 is an enlarged view of the stent of the present invention wound about the mandrel similar to FIG. 3 except for the weld construction.

10

DETAILED DESCRIPTION OF THE INVENTION

Referring now to FIG. 1, a stent in accordance with the present invention and as illustrated by the prior art in U.S. Patent No. 5,217,483 which is formed by first taking a fine wire 10 having a diameter of approximately 0.004 inch, preferably made from platinum and forming it into a generally sinusoidal form, as shown in FIG. 1 in which approximately ten cycles or segments per inch are formed in the wire. These waves can be formed in any convenient manner, for instance as by bending about a rack gear by running a corresponding spur gear over a wire laid along the rack.

20 As may be seen in FIG. 2, the next step is to take the wire of FIG. 1 and to further bend the sinusoids into a flat band containing alternately inverted teardrop shaped elements or loops 13. Each element shares a common side with its neighbor and includes a base 17 and a pair of arcuate shaped legs 19-19 that come together in touching contact at an apex 15. The apex of a loop will lie 25 along one side edge of the band, such as edge 21 while the base of the loop lies upon the opposite side edge 23 of the band. In this configuration, approximately forty loops 13 per inch of ribbon are present and the height or "amplitude" 34 of the loops is approximately 1/16 inch. This is accomplished by mechanically bending the partially formed loops of FIG. 1 up against each other into the shape 30 shown in FIG. 2.

The fine wire 10 used to form the basic flat ribbon 12 is a soft platinum wire that has been fully annealed to remove as much spring memory as

-4-

possible. The straight wire before bending, being in the fully annealed condition, will retain whatever shape it is formed into.

After the flat narrow serpentine ribbon 12 is formed, as shown in FIG. 2, the ribbon 12 is wrapped about a mandrel 14 having a diameter of 0.060 inch 5 in a spiral or helix fashion with the edges of each helix wrap 16 of the ribbon 12 basically touching the adjacent ribbon helix edges to form a wire sleeve 18. The number of circumferential sections 16 on the mandrel will determine the length of the sleeve 18, and a typical stent of this type may have a length of approximately one-and-one-half inches.

10 According to the present invention, as the serpentine ribbon 12 of FIG. 2 is wound on the mandrel 14 of FIG. 3, the free end 20 of the wire of FIG. 1 is inserted through the helix, as may be seen in FIG. 3. In actual practice, the ribbon 12 is wound about the mandrel 14 over the top of the free end 20 of the wire 10. After the helix is formed to the desired length, the free end 20 15 extending through the helix is trimmed, and welded smoothly to the final turn or end circumferential section of the helix 16 so as not to present any increased profile and so as not to puncture or pierce the balloon catheter or the blood vessel into which it is being inserted. The end turn of the helix is welded at 22 and intermediate welds such as 24 are formed to stabilize the length of the helix. 20 The first turn of the helix at the other end may also be welded to the free end at 26 so that the overall length of the stent can be constrained and maintained in the desired configuration.

The serpentine ribbon sleeve 18 is next placed about a collapsed balloon catheter as shown in FIG. 4. In this configuration, the sleeve 18 25 generally has a diameter in the neighborhood of 1.5 mm for insertion into the blood vessels adjacent the heart.

In use, the stent is mounted on a balloon catheter as shown in FIG. 4 and is inserted into the appropriate blood vessel 35. The stent is guided to the desired location where there is occluding plaque 28 or a weak vessel wall or 30 other imperfection requiring placement of a stent. Once the stent is properly located and verified by fluoroscopic or other means, the balloon catheter 36 is inflated to radially expand the serpentine wire sleeve 18. As the balloon 38

-5-

expands, it expands the tight closed apex of each loop of the serpentine ribbon 12 from "touching contact" shown in FIGs. 2-4 to a spaced apart condition as shown in FIG. 5. For instance, in a particular embodiment where the diameter of the stent on the collapsed balloon catheter was 1.5 mm, the stent has been expanded 5 to 4 mm to 5 mm within the blood vessel. The space 30 between adjacent loops then increases to something approximately 0.0875 inch with the loop dimension being approximately 0.025 inch. Thus, what initially in FIG. 2 was a "wavelength" of 0.025 inch, now becomes a "wavelength" of 0.1125 inch. This is an increase of 4.5 times and is perhaps one of the more common expansion 10 ratios found with stents of this type. With the present stent, expansion of up to 8 mm or six times has been found to be entirely satisfactory.

At the same time, the "amplitude" or width 34 of the ribbon 12 decreases some 20 percent to 25 percent due to the lengthening of the helix wrap due to the increased circumference of the expanded sleeve. Thus, as the helix 16 15 is lengthened by stretching the helix about the increased circumference of the expanded stent, the adjacent loops 13 are separated by spaces 30 at the same time the amplitude 34 of the individual helices decrease. Also, the overall length of the sleeve 18 tends to decrease even to the point of causing the free end or pigtail 20 to bend between the welds 22, 24 and 26. The pigtail 20 prevents 20 extension of the overall length of the sleeve 18, but allows it to contract as the diameter increases. The length tends to decrease because the middle of the balloon, and hence the middle of the stent, expands the most, pulling the ends toward the center.

It will be seen that this action maintains good interior surface support 25 of the blood vessel by maintaining the close spacing of the wire loops and helices forming the sleeve.

The expanded condition of the stent is shown in FIG. 5 with the balloon catheter having been removed and the back portion 32 of the sleeve 18 shown in dotted lines for clarity of presentation. Even in this expanded 30 configuration, however, it will be seen that there are ample turns of wire spaced closely enough to fully support the inner surface of the blood vessel so as to prevent collapse of the plaque occluded vessel. With this "finer mesh"

-6-

serpentine configuration, smaller diameter wire can be used without losing the necessary support for the interior surface of the blood vessel, and thus the stent presents a lower profile during introduction which increases the utility of the stent for smaller blood vessel usage. This "finer mesh" also results in a more 5 flexible sleeve which, together with the smooth uniform surface of the tightly wound serpentine wire ribbon of FIGs. 2 and 3, improves the ease of transluminal insertion and facilitates proper implantation and location of the stent. Since the wire pigtails have no sharp ends and the free end is welded to the loop of the helix, there are no sharp edges or points to tear or catch on the 10 catheter balloon or the interior surface of the blood vessel, and thus the stent of the present invention can be more readily manipulated to the desired location.

In prior art devices where the necessary surface support had to be achieved by heavier wire or a denser sleeve, it became difficult to flex the sleeve so as to transit the convoluted blood vessels. When a looser wire configuration 15 was employed, the stability of the stent was decreased and the ultimate efficacy of the implanted stent compromised.

Since in one embodiment, the stent of the present invention is welded to the longitudinal wire at several locations, the longitudinal stability of the stent is greatly increased over the prior art devices without creating a stiff and 20 inflexible stent that cannot be manipulated around curves and corners of the vessel into which it is to be introduced.

In some prior art applications, sleeves of platinum were objectionable because of its inherent high elastic limit such that it required extreme pressures to expand and to hold it in the expanded configuration without contraction 25 sometimes causing insufficient support of the wall surfaces. With the serpentine construction of the present wire form, the elastic limit of the annealed platinum wire can easily be overcome and the device can be fully expanded radially to support the blood vessel with very little pressure required from the balloon catheter. Thus, applicant is able to provide a stent which is more 30 radiopaque than, for instance, stainless steel, without encountering the usual modulus of elasticity problems with platinum. This allows good visibility during implantation and speeds the procedure of positioning the stent in the proper location within the vessel.

-7-

In the present invention, the stent of the prior art described above in FIGs 1-5 is made from a wire which comprises an alloy selected from the group consisting of Pt-Ir or Au-Ni, and where the alloy exhibits a tensile strength of about 155,000 to 175,000 PSI. In one embodiment of the present invention, the 5 alloy comprises about 90 wt % Pt and 10 wt % Ir. It has been found that alloys of this type are an improvement over the prior art materials, and provide the combination of strength and resilience to be readily expandable, and to maintain their size and shape in the expanded state after installation.

In a further improvement and preferred embodiment of the present 10 invention as shown in FIG. 6, the welds 22, 24 and 26 as shown in FIG. 3, are eliminated and free end 20 is looped or wrapped (tied) around the helix at locations 40, 42 and 44. Wire 20 may overlap a given coil and move back under the next coil as shown at location 42. The tip of free end 20 is bent inwardly to avoid any surface profile and to avoid puncture or piercing the balloon catheter 15 or blood vessel into which it is being inserted. It has been found that the loop and wrap configuration provides a greater dimensional stability to the expanded stent which overcomes the tendency of the prior art stents to prolapse with time.

Thus with the construction and configuration shown herein, there is provided a stent having good flexibility, dimensional stability, minimal 20 impurities, very smooth surface, low profile and immunity to fatigue and corrosion.

While this invention has been explained with reference to the structure disclosed herein, it is not confined to the details set forth and this application is intended to cover any modifications and changes as may come within the scope 25 of the following claims.

We Claim:

1 1. A radially expandable stent which is formed from a fine wire bent
2 into a serpentine flat ribbon which is wound around a mandrel into a cylindrical
3 sleeve for mounting on a balloon catheter for transluminal insertion in a vessel,
4 such as a blood vessel, the improvement characterized in that the wire forming
5 the stent comprises an alloy selected from the group consisting of Pt-Ir or Au-Ni,
6 and where the alloy exhibits a tensile strength of about 155,000 to 175,000 PSI.

1 2. The stent of claim 1 in which the alloy comprises about 90 wt% Pt
2 and 10 wt% Ir.

1 3. A radially expandable stent for intravascular implantation that
2 includes
3 a plurality of helically aligned circumferential sections including
4 two end sections and a plurality of intermediate sections that define a cylinder
5 having a longitudinal axis, said cylinder formed of a continuous wire with said
6 circumferential sections being spaced along said axis in abutting contact,
7 each of said circumferential sections having expandable segments
8 that impart radial expandability to said sections whereby said sections have an
9 unexpanded insertion circumference that is greater than said insertion
10 circumference.

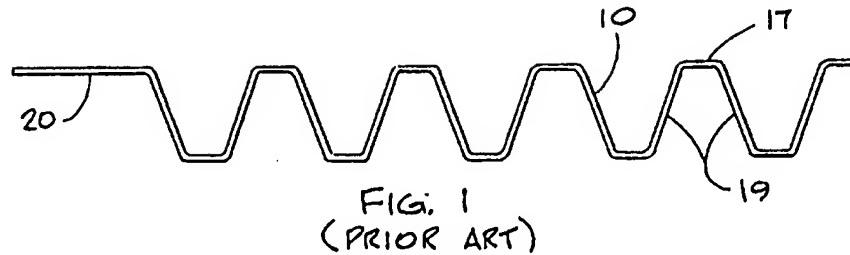
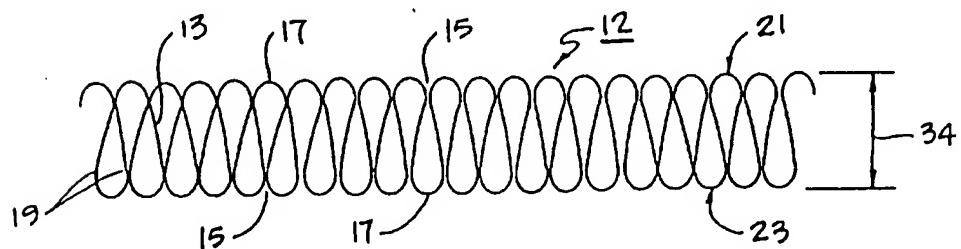
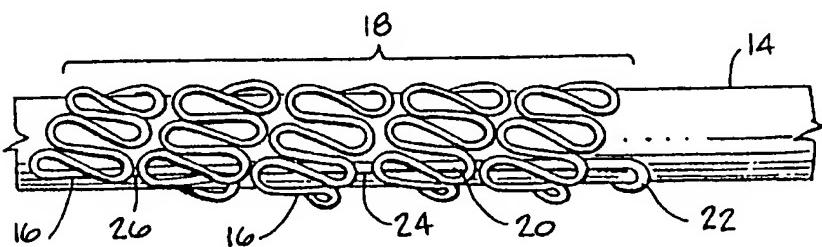
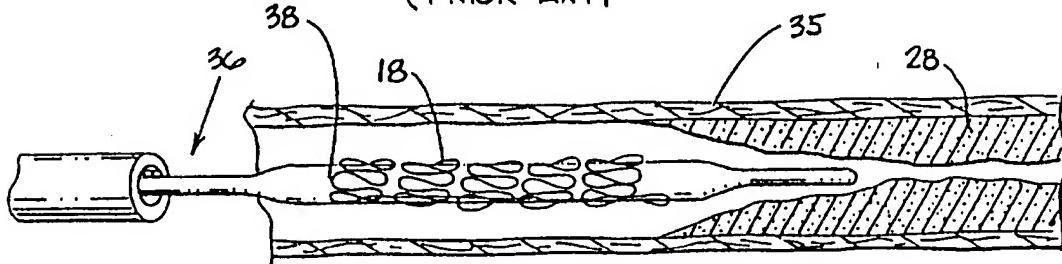
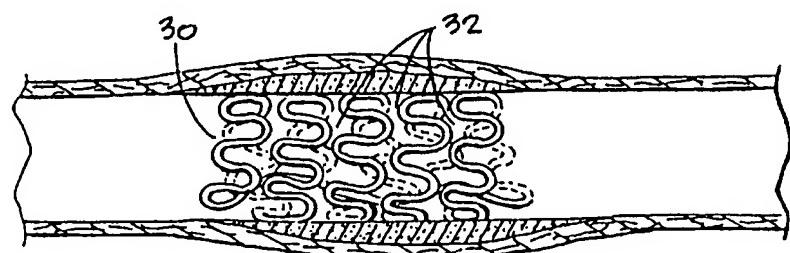
11 said expandable segments being in their unexpanded mode,
12 teardrop shaped elements that are alternately inverted about said circumferential
13 sections, each element containing a base and a pair of legs that come together
14 with a common apex when the stent is in a unexpanded condition,
15 said expandable segments being in their expanded mode, U-shaped
16 elements that are alternately inverted about said circumferential sections,
17 one of said end sections having a free end that is passed back
18 along the circumferential sections and is looped or wrapped around the helix at a
19 plurality of preselected locations to provide dimensional stability and to prevent
20 axial expansion of the stent during radial expansion.

-9-

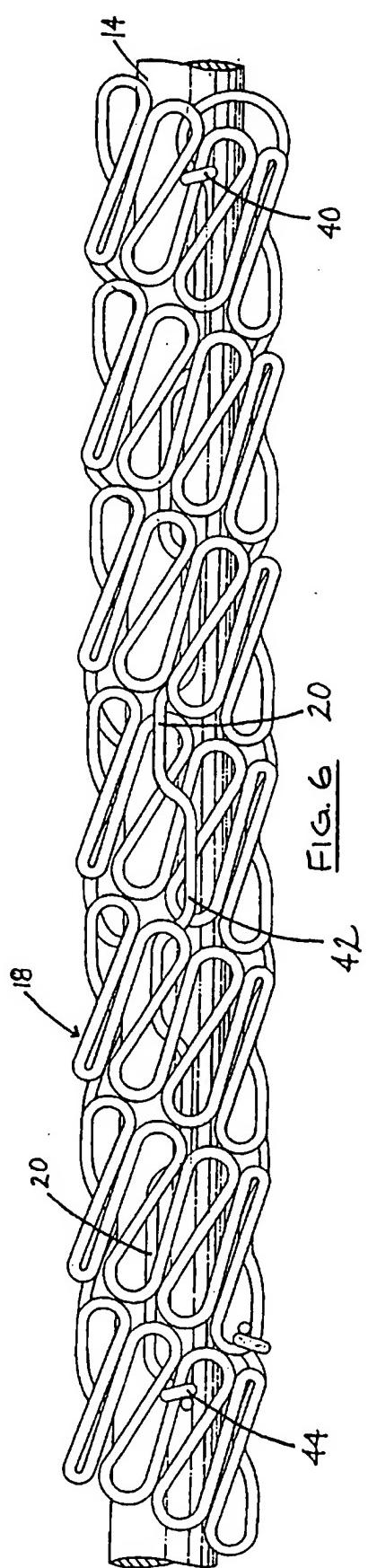
1 4. The stent of claim 3 in which the wire forming the stent comprises
2 an alloy selected from the group consisting of Pt-Ir or Au-Ni, and where the
3 alloy exhibits a tensile strength of about 155,000 to 175,000 PSI.

1 5. The stent of claim 4 in which the alloy comprises about 90 wt% Pt
2 and 10 wt% Ir.

1/2

FIG. 1
(PRIOR ART)FIG. 2
(PRIOR ART)FIG. 3
(PRIOR ART)FIG. 4
(PRIOR ART)FIG. 5
(PRIOR ART)

2/2



SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/17211

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61F 2/06

US CL :623/1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 606/108, 195, 198; 623/1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
APS

Search Terms: stent# and alloy#(10a)(pt or platinum) (10a) (ir or iridium)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,217,483 A (TOWER) 08 June 1993, entire document.	1-5
Y,P	US 5,630,840 A (MAYER) 20 May 1997, entire document.	1, 2, 4, 5
Y	US 5,554,181 A (DAS) 10 September 1996, entire document.	3-5
Y,P	US 5,632,771 A (BOATMAN et al) 27 May 1997, entire document.	3-5

<input type="checkbox"/>	Further documents are listed in the continuation of Box C.	<input type="checkbox"/>	See patent family annex.
*	Special categories of cited documents:		
"A"	document defining the general state of the art which is not considered to be of particular relevance	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means	"A"	document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search 28 NOVEMBER 1997	Date of mailing of the international search report 23 DEC 1997
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer PAUL PREBILIC Telephone No. (703) 308-2905
Form PCT/ISA/210 (second sheet)(July 1992)*	